

ADDENDUM TO MEMBER AND AUTHORIZED PERSON AGREEMENT

This Addendum to Member and Authorized Person Agreement dated ____ is executed by Mr./Ms./M/s. _____ carrying on business under the name and style of _____ having its registered office/principal place of business at _____ (hereinafter referred to as the “Executant” which term, unless repugnant to the context shall mean and include, his/her/its legal heirs/representatives, agents and/or assigns).

WHEREAS the Executant is appointed as Authorized Person (AP) by M/s. Globe Capital Market Ltd. (hereinafter referred to as “Member”).

AND WHEREAS the Executant herein had executed a Member and Authorized Person Agreement dated _____ with Member”, inter-alia, in terms of _____ Circular and in terms of Rules, Bye Laws, Regulations and Circular issued by the Exchange from time to time to do activities relating to trades on the Exchange in accordance with the terms and conditions as specified in the said Member and Authorized Person Agreement.

AND WHEREAS the Executant and Member with free will, intends to modify the contents of the afore-referred Member and Authorized Person Agreement dated -_____, by executing an addendum to the said Member and Authorized Person Agreement.

Accordingly, the Executant hereby submits that the terms and conditions vested in said Member and Authorized Person Agreement shall now be deemed to be extended further.

AND WHEREAS for the transparent and full proof services, the Executant would keep voice recordings for all the trades and transaction executed on or behalf of his/its clients either through voice logger provided by the Member or procured by the Executant from his/its own resource or by mode of Mobile Phone. Further, the Executant would preserve all the recordings and would provide the Member as and when required for the investigation/evidence purpose or any complaint/ dispute raised by his/its client or for redressal of their grievances.

AND WHEREAS the Executant does hereby agree to keep indemnified and hold the Member saved, harmless and defended from all times hereafter from and against all losses, claims, legal proceedings, actions,

Signature of Executant.....

demands, risks, charges, taxes, duties, damages, costs, expenses, whatsoever which may arise, suffered by or imposed on Member by reason of not providing the requisite voice recordings of disputed trades/transactions.

The Member and Authorized Person Agreement dated _____ executed by the Executant and Member vide the said Agreement shall continue to subsist and the present Addendum shall be deemed as supplementary to the said Agreement and would be read in conjunction, thereto. Therefore, I/we shall continue to be bound by the terms/contents of the said Agreement.

I/We accept

Signed and Delivered by Executant

Date:
BA Code

For Globe Capital Market Ltd.
609, Ansal Bhawan,
16, K.G. Marg,
New Delhi - 110001.

In presence of:

Witnesses

- 1
- 2

Signature of Executant.....