

**FORMAT 1 - E-MAIL ID / MOBILE NUMBER DECLARATION FORM**

To,  
**GLOBE ..... LIMITED**  
 ..... Ansal Bhawan, 16, K.G. Marg, Connaught Place,  
 New Delhi-110001

Date : \_\_\_\_\_

Dear Sir,

I/We hereby request you to send all your communications pertaining to my/our trade like Trade Confirmations, Contract Notes, MTM Obligation, Margin Calls or any other communication (which is necessary from your Compliance point of view) including the verification call from Globe etc. on the below mentioned Mobile number/Email id.

Contact Mode	Mention E-mail / Mobile	Mobile / E-mail is registered in the Name (Please tick the correct box)			
		Self	Spouse	Dependent Child	Dependent Parent
E-mail		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mobile No.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I/we confirm that:

The said Mobile is registered in the name of \_\_\_\_\_ and the

Email is/is also registered in the name of \_\_\_\_\_

I/We am/are also aware that the Exchanges have been pursuing a process of confirming the trade details directly to the Clients via SMS and Email alerts which they have carried out through their respective Trading Member. Accordingly, I/We accord my/our consent to receive those SMS as well as Emails alerts directly from the Exchanges on the above stated contact details for the purpose. I/We hereby authorize you for sharing the said contact details with Exchanges.

Yours faithfully,

**SIGNATURE OF CLIENT**

(10) 

Name of the Client : \_\_\_\_\_

Trading Code : \_\_\_\_\_

**FORMAT 2 - DECLARATION FORM FOR CLIENTS NOT HAVING EMAIL ID / MOBILE NUMBER**

To,  
**GLOBE ..... LIMITED**  
 ..... Ansal Bhawan, 16, K.G. Marg, Connaught Place,  
 New Delhi-110001

Date : \_\_\_\_\_

Dear Sir,

I/We understand, Exchange, with the objective of reducing the number of investor complaints relating to unauthorized trading and to safeguard Members' own interest, provides details of the transactions to all investors through mails and SMSs whose email address and mobile numbers are updated by the trading members to the Exchanges. I/We also understand that as provisions of SEBI/FMC/Exchanges, the trading member requires to update the mobile and e-mail IDs of their clients to the Exchanges.

However, pursuant to above mentioned compliance, I/we hereby confirm & declare that I/We do not have the mobile number/email id to be updated in your records for the purpose of receiving transactional alerts from trading member/ SEBI/FMC/Exchanges. I/We further authorize you to inform /update the same to the SEBI/FMC/Exchanges.

**SIGNATURE OF CLIENT**

(11) 

Name of the Client : \_\_\_\_\_

Trading Code : \_\_\_\_\_